



# Alternative methods to animal testing: the **LIFE-EDESIA** *in silico-in vitro* approach to Endocrine Disruptors

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Department of Food Safety and Veterinary Public  
Health



# OUTLINE

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- ✓ **Why alternatives to animal testing**
- ✓ **Endocrine Disruption and adverse effects**
- ✓ **Endocrine Disruptor (ED)-screening: mechanism-based *versus* effect-based**
- ✓ **The *in vitro* LIFE-EDESIA approach:  
computational prioritization  
plus  
*in vitro* testing by ED-dependent, biomarker-based, cell-specific bioassays**

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# THE 3Rs PRINCIPLE or WHY ALTERNATIVES ?

**Russell & Burch - *The Principles of Human Experimental Technique***

**Methuen ed, London, 1959 - [http://altweb.jhsph.edu/pubs/books/humane\\_exp/het-toc](http://altweb.jhsph.edu/pubs/books/humane_exp/het-toc)**



## REPLACEMENT

Methods which avoid or replace the use of animals

## REDUCTION

Methods which minimise the number of animals used *per* experiment

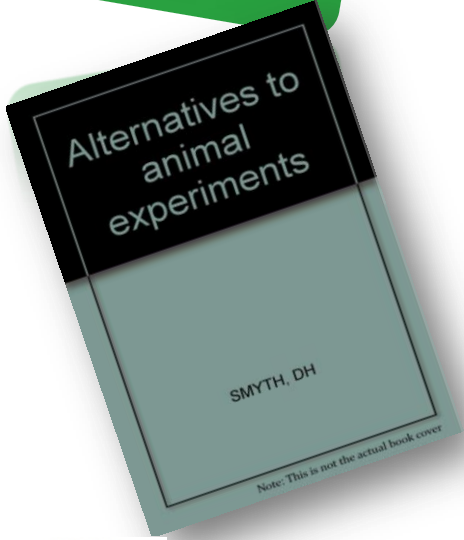
## REFINEMENT

Methods which minimise suffering and improve animal welfare

- ✓ **The principles of the 3Rs (Replacement, Reduction and Refinement) were developed over 50 years ago as a framework for human and animal research.**
- ✓ **They have subsequently become embedded in national and international legislation regulating the use of animals in scientific procedures.**

**David Henry Smyth - *Alternatives to animal experiments***

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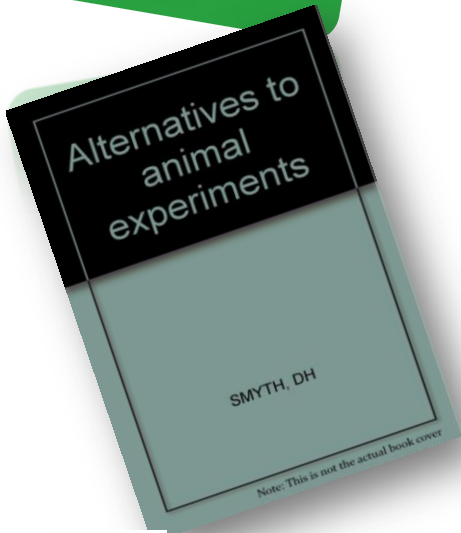
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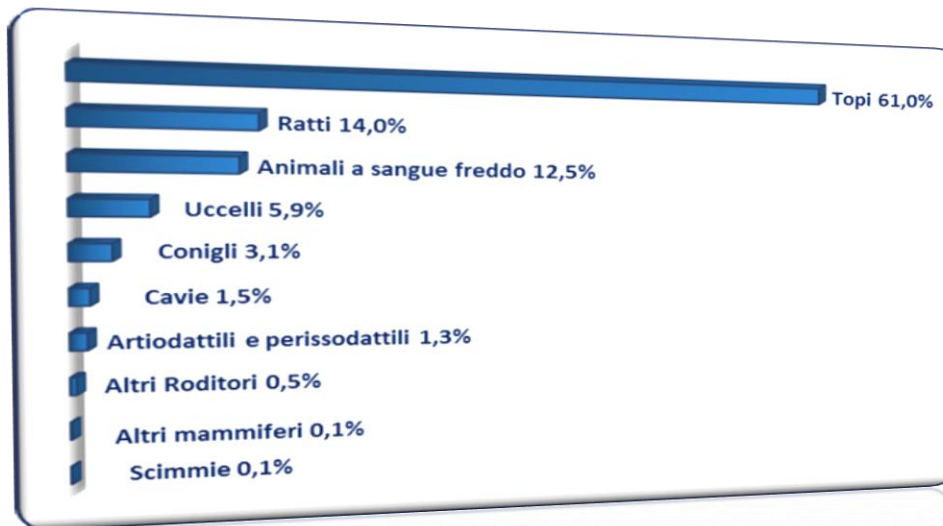
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# WHY ALTERNATIVE METHODS TO ANIMAL TESTING ?

- ✓ **Biological research (46,1%)**
- ✓ **Research and development in human and veterinary medicine (21,7%)**
- ✓ **Quality controls of pharmacological products (13,9%)**
- ✓ **Assessment of toxicological effects (8,8%)**
- ✓ **Education and training (1,6%)**



**Rodents 75%**

**About 11,5 million animals used\***

**\* EU data 2013**

# WHY ALTERNATIVE METHODS TO ANIMAL TESTING FOR ENDOCRINE DISRUPTION ?

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## ➤ EU regulatory framework

The EU has introduced specific legislative obligations aimed at **phasing out endocrine disruptors** in **water** (*Water Framework Directive 2000/60/EC*), **industrial chemicals** (*REACH Regulation 2006/1907/EC, Food Contact Materials Regulation 2011/10/EU* and following amendments, ...), **plant protection products** (*Plant Protection Products Regulation 2009/1107/EC*) and **biocides** (*Biocidal Products Regulation 2012/528/EU*).

➤ Importantly, EU regulations strongly recommended **the use of *in vitro* alternative** (to animal experimentation) **methods**, at least as a prioritizing screening approach to identify **endocrine disrupting properties of Endocrine Active Substances (EAS)**.

## ➤ REACH Regulation

- In REACH, Endocrine Disrupting Chemicals (EDCs) are considered of **similar regulatory concern as Substances of Very High Concern (SVHC)**.
- REACH also calls for the **progressive substitution of** the most dangerous chemicals (referred to as **SVHC**) **when suitable alternatives have been identified**.

# WHY ALTERNATIVE METHODS TO ANIMAL TESTING FOR ENDOCRINE DISRUPTION ?

REACH Regulation  
1907/2006/CE



ENDOCRINE DISRUPTORS  
as SVHC - Art. 57f e ss.,  
138.7; All. II - 12.6

*equivalent concern to:*  
*CMR, , PBT, vPvB*  
*(Art. 57 a-e)*

ANNEX XIV  
*Substances of Very High  
Concern - SVHC*



# WHY ALTERNATIVE METHODS TO ANIMAL TESTING FOR ENDOCRINE DISRUPTION ?

REACH Regulation  
1907/2006/CE

↓ **case-by-case**

ENDOCRINE DISRUPTORS  
as SVHC - Art. 57f e ss.,  
138.7; All. II – 12.6

↓  
*equivalent concern to:*  
*CMR, , PBT, vPvB*  
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ANNEX XIV  
*Substances of Very High  
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➤ **Endocrine Active Substance / EAS** : “a substance having the inherent ability to interact or interfere with one or more components of the endocrine system resulting in a biological effect, but need not necessarily cause adverse effects.”

*EFSA J. 2013; 11(3):3132*

➤ **Endocrine Disruptor / ED** : “An endocrine disruptor is an exogenous substance or mixture that alters function(s) of the endocrine system and consequently causes adverse health effects in an intact organism, or its progeny, or (sub)populations.”

*WHO/IPCS 2002 – Weybridge definition*

➤ “EDs are EASs causing adverse effects mediated by endocrine mechanisms”

*Rovida C, De Angelis I, Lorenzetti S. ALTEX 30, 2/13*

✓ ..., currently available definitions of “endocrine disruptor” are either neutral in terms of specifying the toxicological relevance of the effects to be described, or they introduce the idea of **adversity**.

✓ **WHAT ADVERSITY SHOULD MEAN IN AN ENDOCRINE CONTEXT**

✓ At the core of this dilemma is the fact that “**endocrine disruption**” cannot presently be anchored to specific assay outcomes in a straightforward way.

*STATE OF THE ART ASSESSMENT OF ENDOCRINE DISRUPTERS,  
ec.europa.eu/environment/endocrine/.../summary\_state\_science.pdf*

# OUTLINE

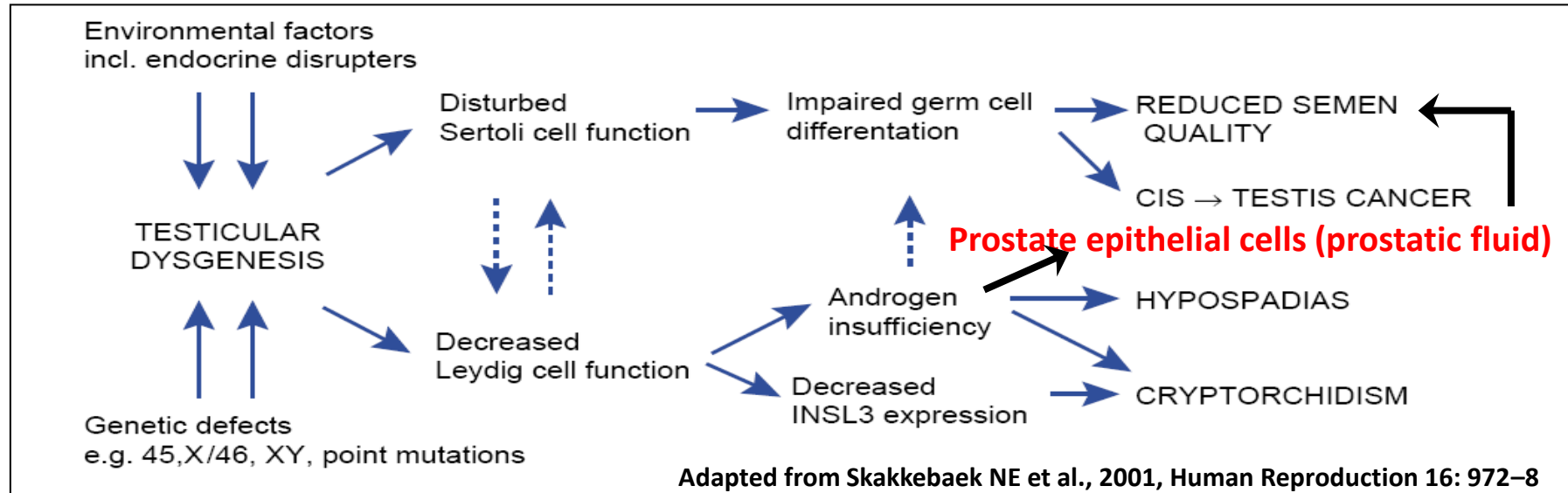
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plus  
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# ED-related adverse health effects: **TDS syndrome**

## ➤ Testicular Dysgenesis Syndrome (TDS) in humans

exposure *in utero* to environmental factors (anti-androgenic compounds) in Western Europe and USA are responsible of male infertility and associated-diseases/malformations.



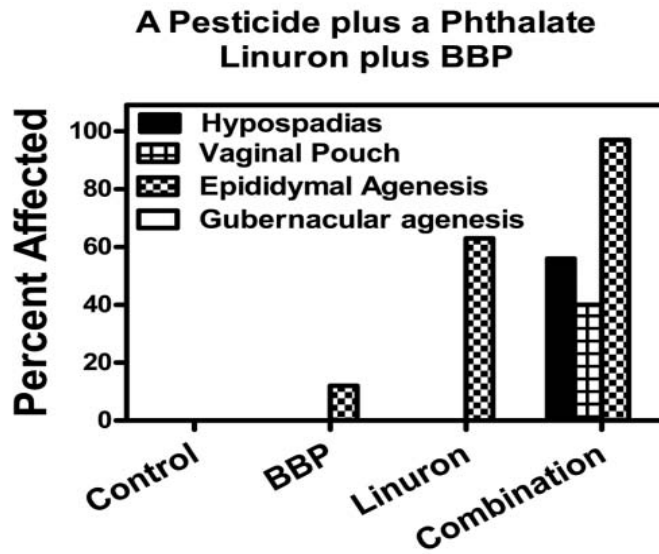
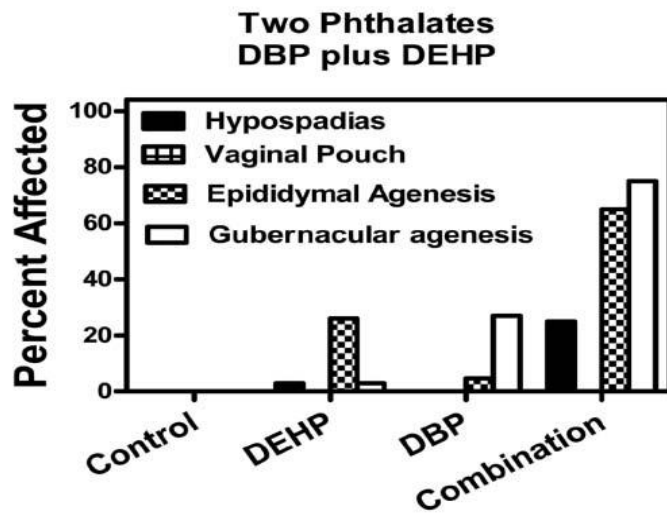
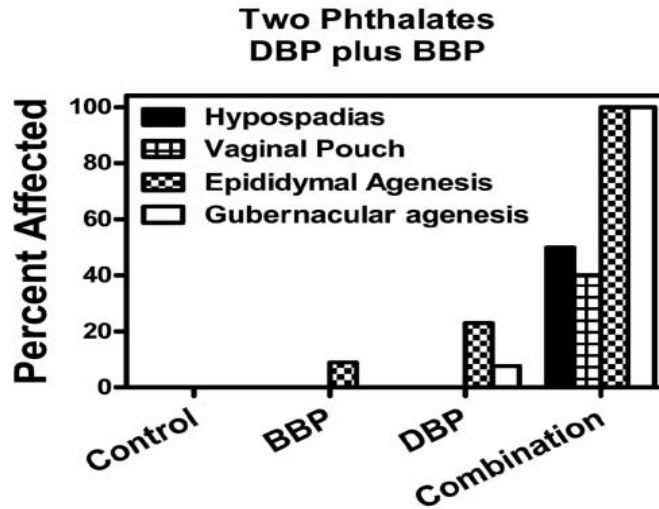
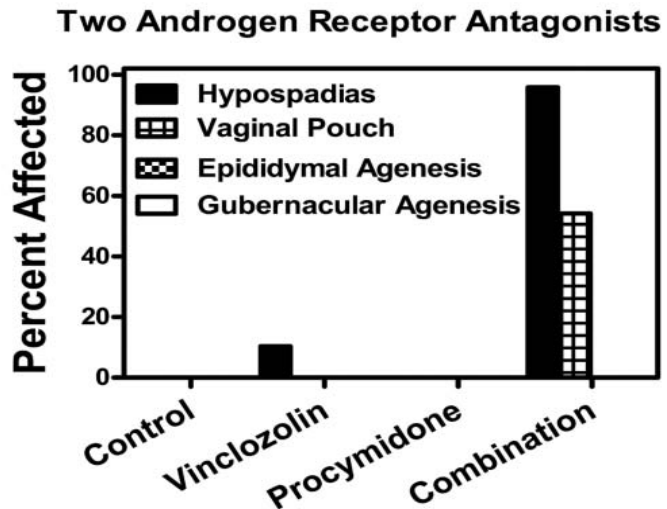
## ➤ or «**phthalate syndrome**» in experimental rodents

Fisher, *Reproduction* 2004

Sharpe and Skakkebaek, *Fertil Steril*. 2008

Martinez-Arguelles *et al.*, *JSBMB* 2013

# ED-related adverse health effects: **what a mixture!**



«Phthalate syndrome» (rodent TDS)  
and pesticides:  
the role of EDC mixtures

a cumulative, dose additive effects  
of different anti-androgens  
(binary combinations)

Rider *et al.* Toxicol. Pathol. 2009

# ED-related adverse health effects: obesity pandemics

- **Obesogenic EDCs (including BPA and DEHP)** in experimental *in vivo* models and in humans (?)

Heindel *et al. Environmental Health* (2015) 14:54  
DOI 10.1186/s12940-015-0042-7



COMMENTARY

Open Access

## Parma consensus statement on metabolic disruptors



Heindel *et al.*, *Env. Health* 2015, and refs therein

Grün and Blumberg, *Endocrinology* 2006



### Summary and conclusions

The Parma workshop helped to focus this emerging field by developing an overarching hypothesis for the role of environmental chemicals in the current worldwide epidemics of obesity, diabetes and related metabolic diseases. We hope that the consensus statements will aid in expanding understanding of the possible role of metabolic disruptors in these epidemics and have identified research needs in order to provide more relevant data on the role of environmental chemicals in these diseases. The objective is both to indicate the strength of the current data and to provide a roadmap for further studies. A coherent, enhanced research agenda will help identify strategies to prevent metabolic diseases through actions that can be taken by individuals as well as public health agencies. History shows that prevention is always the best strategy. Increased understanding of the importance of the metabolic disruptor hypothesis to the epidemics of obesity and metabolic syndrome offers the potential for these diseases to be mitigated by modifying exposures, thereby creating a healthier environment for future generations.

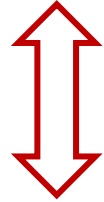
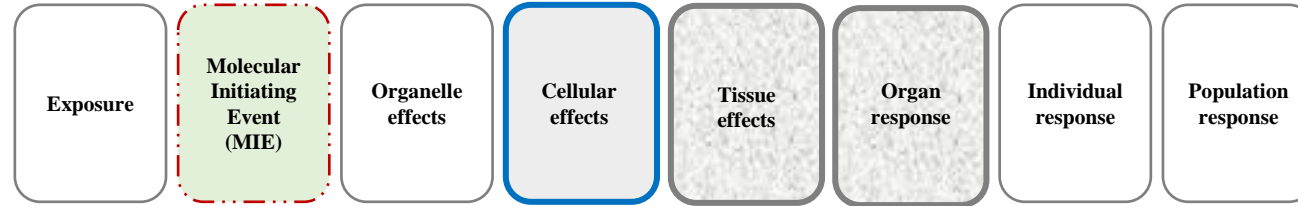
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# CURRENT «ALTERNATIVES» FOR ENDOCRINE DISRUPTION : **mechanism-based approaches**

Adverse  
Outcome  
Pathway  
(AOP)

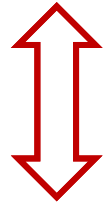
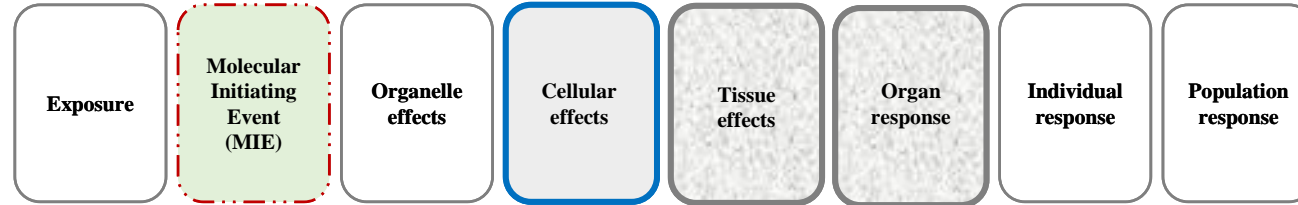


... *in vitro* screening:  
so far, mostly applying at **GENE REPORTER ASSAYS**



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... *in vitro* screening:  
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*In vitro* Nuclear Receptor binding & regulation of gene transcription (*gene reporter assays*)  
IS SUFFICIENT TO DEFINE...

➤ **AN ENDOCRINE ACTIVITY ?**

NO, if an endocrine activity is a Mode-of-Action

*WHO/IPCS 2002 – Weybridge definition*

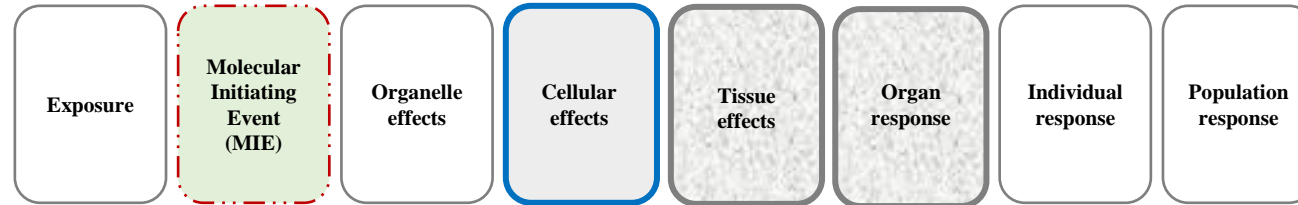
*STATE OF THE ART ASSESSMENT OF ENDOCRINE DISRUPTERS (EC)*





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*STATE OF THE ART ASSESSMENT OF ENDOCRINE DISRUPTERS (EC)*

➤ **AN ADVERSE EFFECT ?**

NO, because a binding to a Nuclear Receptor (or its transcriptional regulation) does not define any cellular output(s) in terms of ADVERSITY

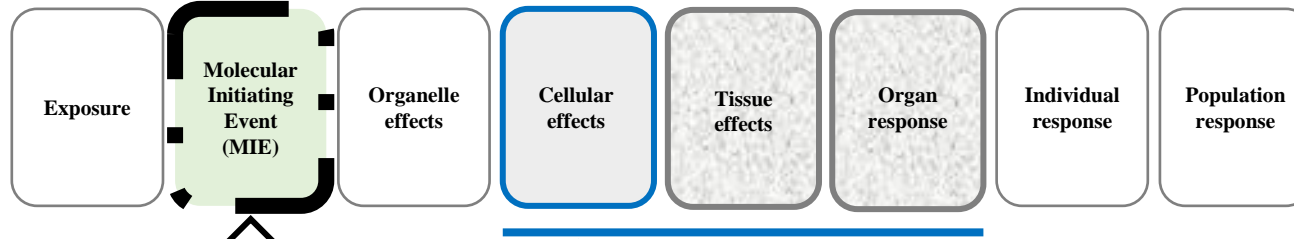
# CURRENT «ALTERNATIVES» FOR ENDOCRINE DISRUPTION :

## an example of a **mechanism-based** mistake: **the case of the anti-androgenic phthalate DEHP**

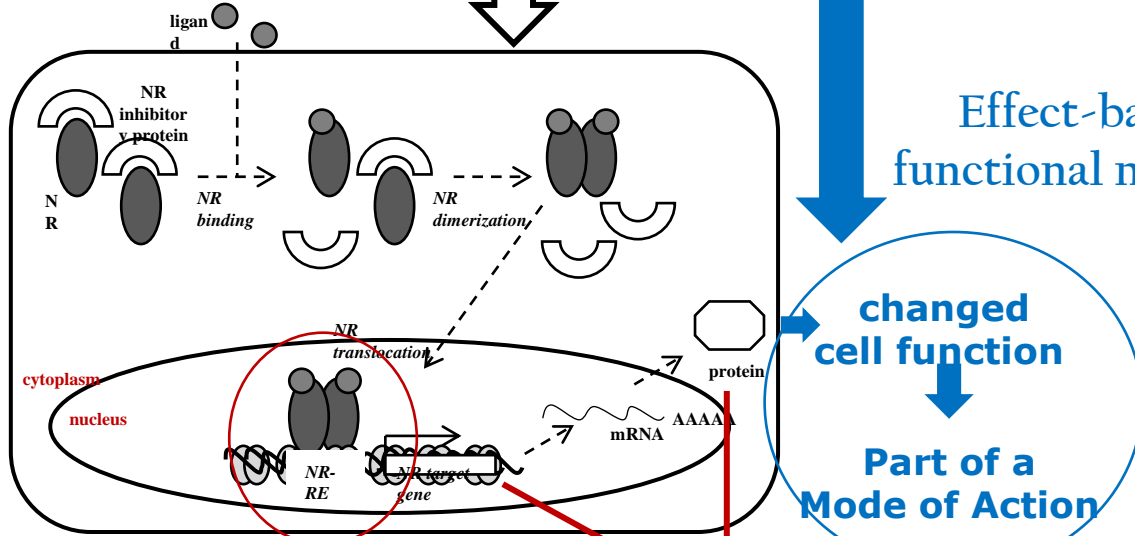
### **In vitro** screening of EDs by any Androgen Receptor (AR)-gene reporter...

**... it will detect a lack of binding to AR  
(no activation of AR-mediated gene transcription)  
BUT it will never detect its already known Mode-of Action: anti-androgenicity !**

Adverse Outcome Pathway (AOP)



AR-gene reporter assay



Effect-based functional markers

changed cell function  
Part of a Mode of Action

transcriptional activation

molecular markers:  
mRNA, miRNA, proteins, metabolites

Part of a Mechanism of Action (incl. toxicogenomics)

Adapted from Lorenzetti and Narciso, 2012  
DOI: 10.1039/9781849735353



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# The overall LIFE-EDESIA approach - 1. computational prioritization

## Compilation of 3 different lists of already existing alternatives of the LIFE-EDESIA compounds of interest

*(phthalates/DEHP, bisphenols/BPA, parabens/methyl paraben)*

### CHEMICO-PHYSICAL PROPERTIES

(e.g., solubility by the **ACD/Solubility DB** and lipophilicity by the octanol-water partition coefficient **LogP** and by the apparent partition coefficient D for dissociative systems **Log D**) assessed on phthalates, bisphenols and parabens, and their potential substitutes, listed on [www.iss.it/life](http://www.iss.it/life) (**data available on request**)

### TOX PROPERTIES

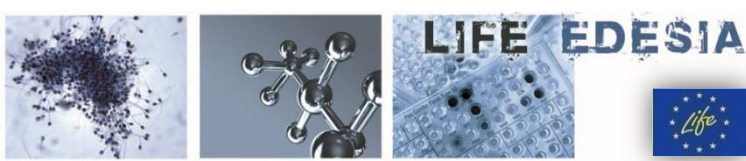
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### QUANTITATIVE STRUCTURE–ACTIVITY RELATIONSHIP (QSAR)

performed on phthalates, bisphenols and parabens, and their potential substitutes, listed on [www.iss.it/life](http://www.iss.it/life), versus selected NRs, namely AR and ER $\alpha$ , using i) a CART model also implemented in the VEGA platform, ii) SARpy model developed on the basis of the CERAPP (Collaborative Estrogen Receptor Activity Prediction Project) dataset, iii) the German Federal Environment Agency (UBA) ED-scan for ER and AR binders, and iv) the Estrogen Receptor Binding and the rtER Expert System ver.1 – USEPA profilers available to investigate Eds in the OECD QSAR application Toolbox (**data available on request**)

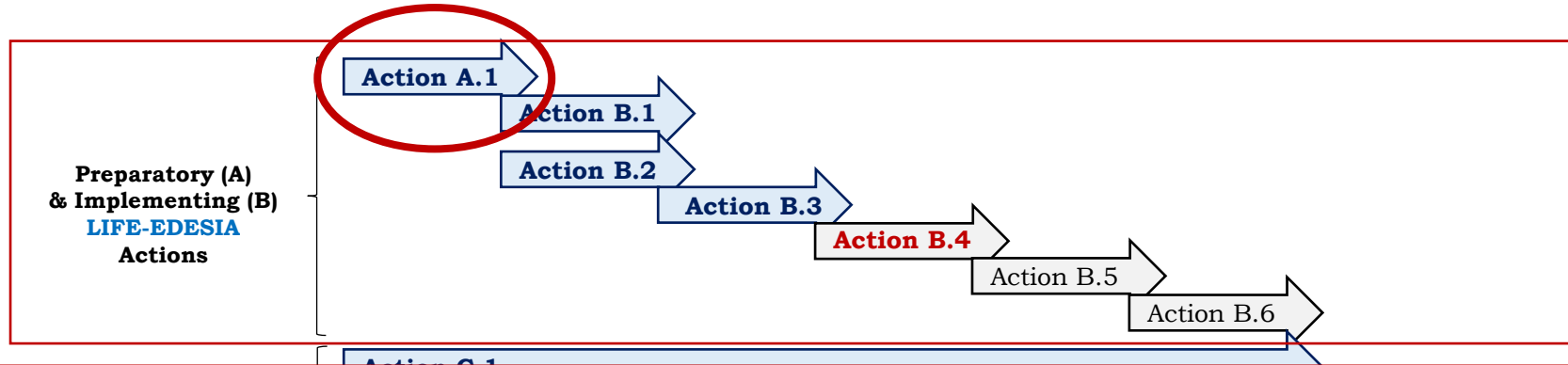
### MOLECULAR DOCKING

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# SUMMARY OF *IN SILICO* PROJECT RESULTS 1

✓ *In silico* selection (by Benfenati group in Milan and Cozzini group in Parma) of alternatives to be tested *in vitro*...



COMPILATION OF 3 DIFFERENT LISTS OF ALREADY EXISTING ALTERNATIVES  
OF THE LIFE-EDESIA COMPOUNDS OF INTEREST:

PHTHALATES **n - 55**

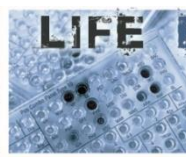
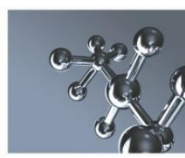
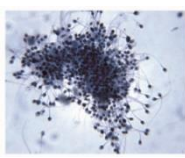
BISPHENOLS **n - 27**

PARABENS **n - 18**

Action E.2

Action E.3

Completed Actions and whole project-running Actions are depicted in pale blu arrows. Actions highlighted in red are *in progress*, whereas those ones not yet started are in pale grey arrows.

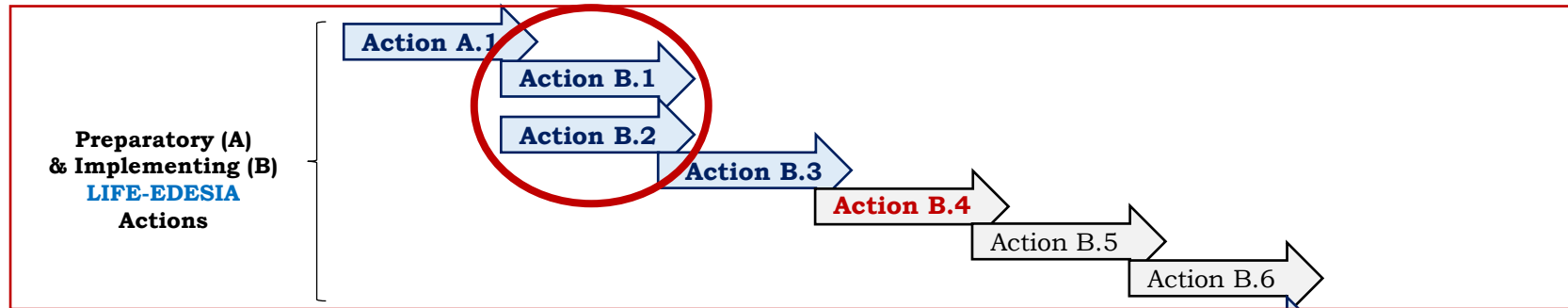


LIFE EDESIA



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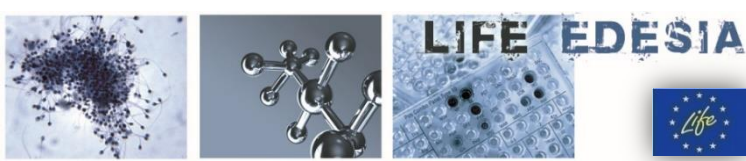
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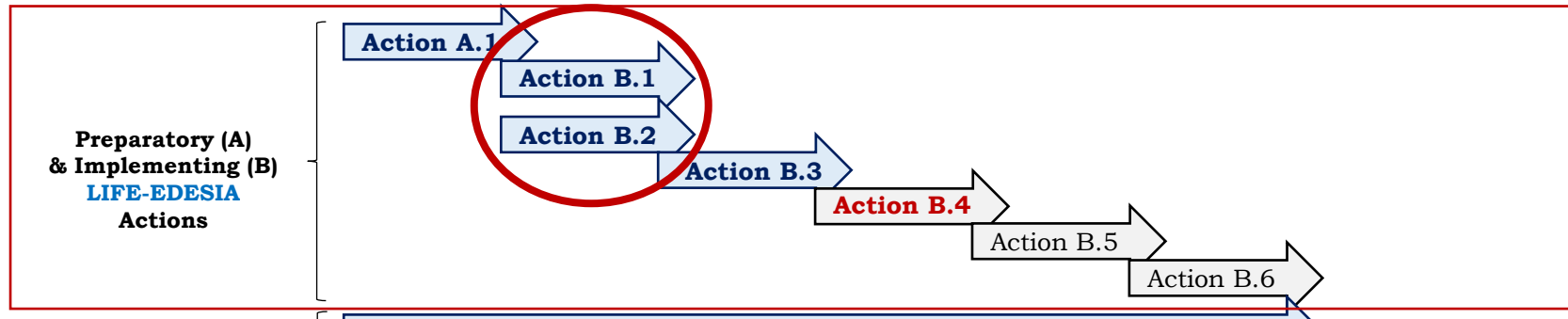
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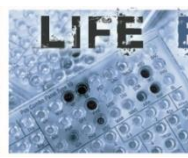
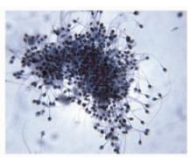
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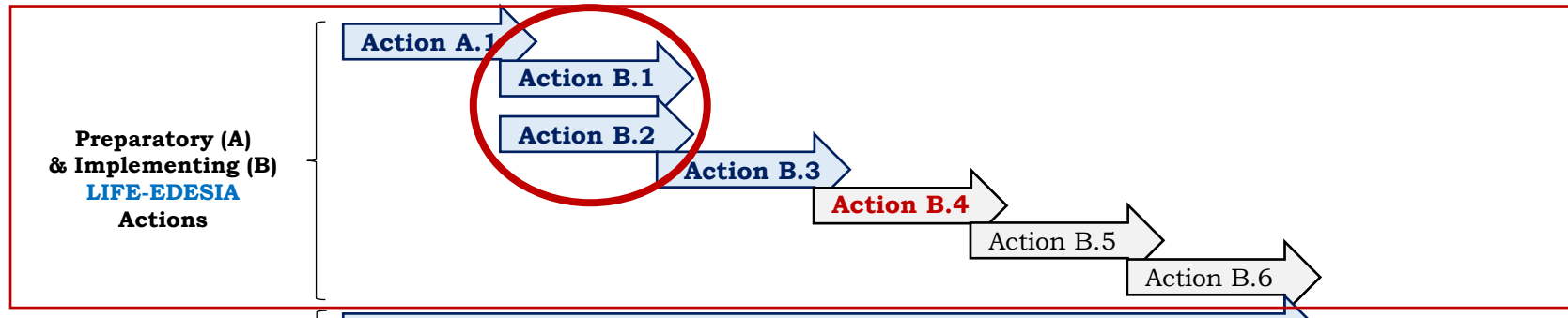
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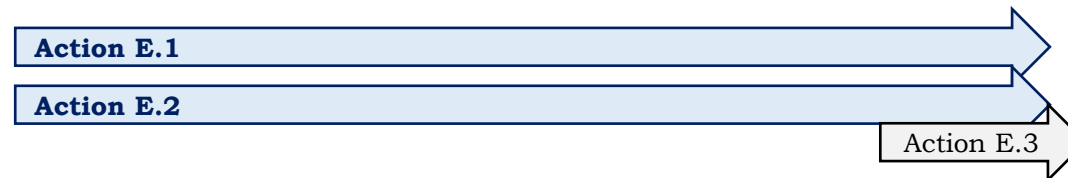
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Actions

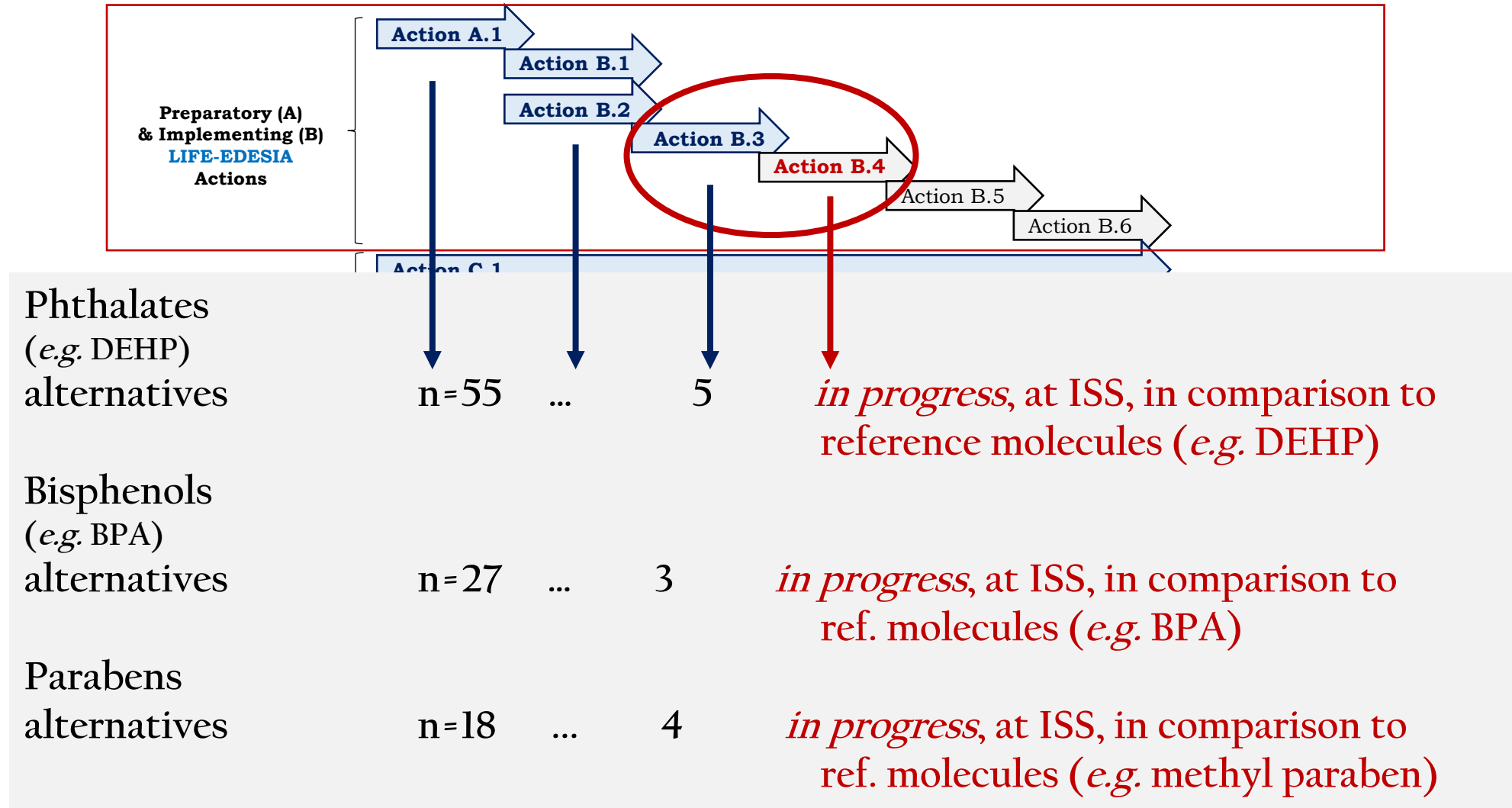


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# The overall LIFE-EDESIA approach: computational prioritization

*in silico* toxicological selection of potential substitutes of the LIFE-EDESIA chemicals of concern (*phthalates/DEHP, bisphenols/BPA, parabens/methyl paraben*)



pale grey arrows.



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# The overall LIFE-EDESLA approach - 2. testing

## by ED-dependent, biomarker-based, cell-specific bioassays

**The AIM** To characterize *in vitro*, in multiple ED-targeted human cells, if the alternatives identified in previous actions are “less toxic” considering their endocrine disrupting properties.

Cell aspecific endpoint:  
*Cell Viability*  
(MTS assay)

- Cell specific endpoint:  
*Functional Assay – Phenotypic anchoring*
- prostate: PSA secretion
  - trophoblast:  $\beta$ hCG secretion
  - liver: intracellular lipid accumulation and AFP secretion

Molecular endpoint:  
*gene expression of Nuclear Receptors of interest*  
(qPCR)



Gene reporter assays  
*AR-, ER-, PPAR-gene reporter assays*  
(OECD and/or IHCP-JRC guidelines and/or protocols under the validation programme) ●

● OECD guidelines for the testing of chemicals <http://www.oecd.org/env/ehs/testing/oecdguidelinesforthetestingofchemicals.htm>  
JRC-IHCP website <http://ec.europa.eu/dgs/jrc/index.cfm>: e.g, the “Performance-Based Test Guideline for Stably Transfected Transactivation In Vitro Assays to Detect Estrogen Receptor Agonists” (OECD TG 455); the “BGILuc Estrogen Receptor Transactivation Test Method for Identifying Estrogen Receptor Agonists and Antagonists” (OECD TG 457).

- ✓ Lorenzetti S, Mantovani A. 2014. Reproductive and Developmental Toxicity Testing: issues for 3Rs implementation. In: Reducing, Refining, and Replacing the Use of Animals in Toxicity Testing (Chapter 12, pp. 330-347), edited by Dave G Allen and Michael D Waters, RSC Publishing, Cambridge (UK); DOI:10.1039/9781849737920-00330.

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## by ED-dependent, biomarker-based, cell-specific bioassays

Cell aspecific endpoint:  
*Cell Viability*  
(MTS assay)

Cell specific endpoint:

*Functional Assay – Phenotypic anchoring*

- prostate: PSA secretion
- trophoblast:  $\beta$ hCG secretion
- liver: intracellular lipid accumulation and AFP secretion

Molecular endpoint:  
*gene expression of Nuclear Receptors of interest*  
(qPCR)

## The METHODS

Within the three model systems will be used in parallel an approach based on the use of three cell-based assays:

- cytotoxicity/cell proliferation test** (by MTS assay, a metabolic-based assay relying on mitochondrial functionality) that will assist to distinguish if the changes observed in the other tested endpoints (b. and c.) are cell specific or merely due to cell damages;
- assessment of gene expression** (by real time RT-PCR) of a set of nuclear receptors (NRs) known molecular mediators of the actions of parabens, bisphenols and phthalates;
- “phenotypic anchoring” by measurements of clinical-, physiologically-relevant endpoints:** to allow the assessment of the physiological relevance of detected change in NR gene expression by the measurement of cell specific cellular biomarkers already employed in clinical practice and well recognized as endocrine endpoints modulated by both endogenous and exogenous hormone-like stimuli.

# The overall LIFE-EDESLA approach - 2. testing

## by ED-dependent, biomarker-based, cell-specific bioassays

Cell aspecific endpoint:  
*Cell Viability*  
(MTS assay)

- Cell specific endpoint:  
*Functional Assay – Phenotypic anchoring*
- prostate: PSA secretion
  - trophoblast:  $\beta$ hCG secretion
  - liver: intracellular lipid accumulation and AFP secretion

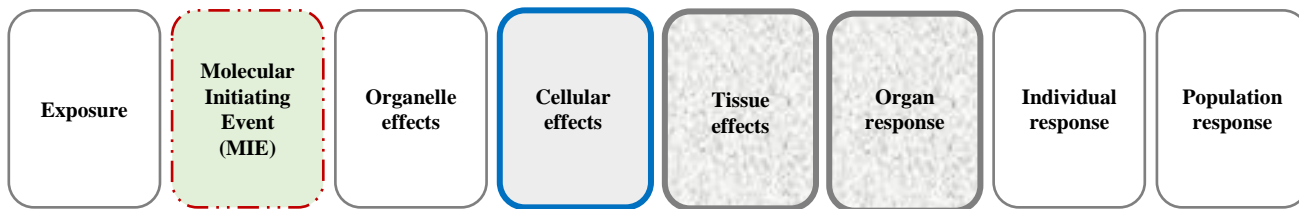
Molecular endpoint:  
*gene expression of Nuclear Receptors of interest*  
(qPCR)

### The EXPERIMENTAL MODELS

- **prostate**, to investigate ED androgen receptor (AR)-mediated effects on the male reproductive system
  - Lorenzetti *et al.*, 2010, *Reprod.Toxicol.* 30:25-30; Lorenzetti *et al.*, 2011, *Ann Ist Super Sanita.* 47(4):429-44
- **trophoblast**, to investigate ED estrogen receptor (ER)-mediated effect on the placenta and hence the transgenerational effects on nutrient exchange between mother-child
  - Morck *et al.*, 2010, *Reprod.Toxicol.* 30:131; Lorenzetti *et al.*, 2011, *Ann Ist Super Sanita.* 47(4):429-44
- **liver**, to investigate multiple ED nuclear receptor (NR)-mediated effects on the programming of the metabolic syndrome.
  - Grasselli *et al.*, 2013, *Chemosphere.* 91(8):1123-9

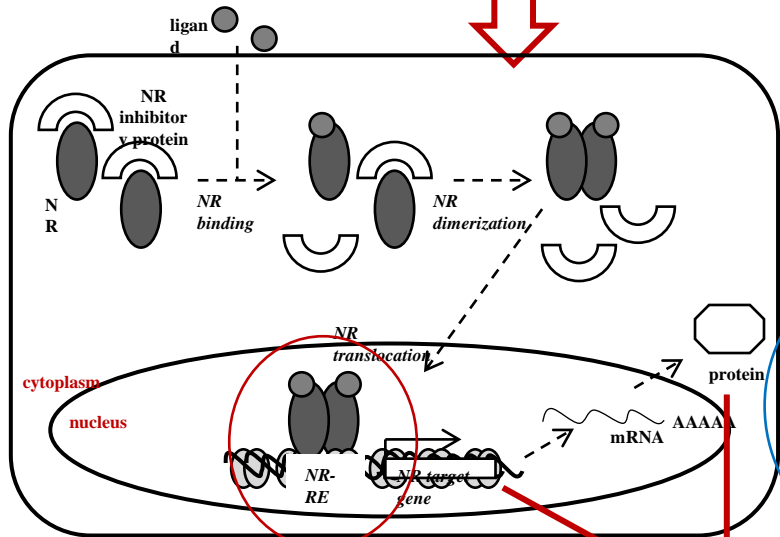
# BEING MORE «ALTERNATIVES» FOR ENDOCRINE DISRUPTION : **effect-based approaches**

Adverse Outcome Pathway (AOP)



WHICH BIOMARKERS TO SCREEN FOR:

- AN ENDOCRINE ACTIVITY?
- AN ADVERSE EFFECT?



Effect-based functional markers

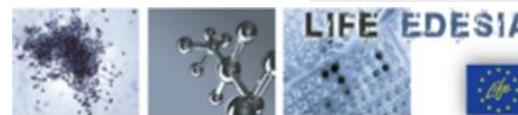
changed cell function  
Part of a Mode of Action

transcriptional activation

Part of a Mechanism of Action (incl. toxicogenomics)

molecular markers: mRNA, miRNA, proteins, metabolites

cell-specific, clinically relevant, hormone-dependent biomarkers of effects



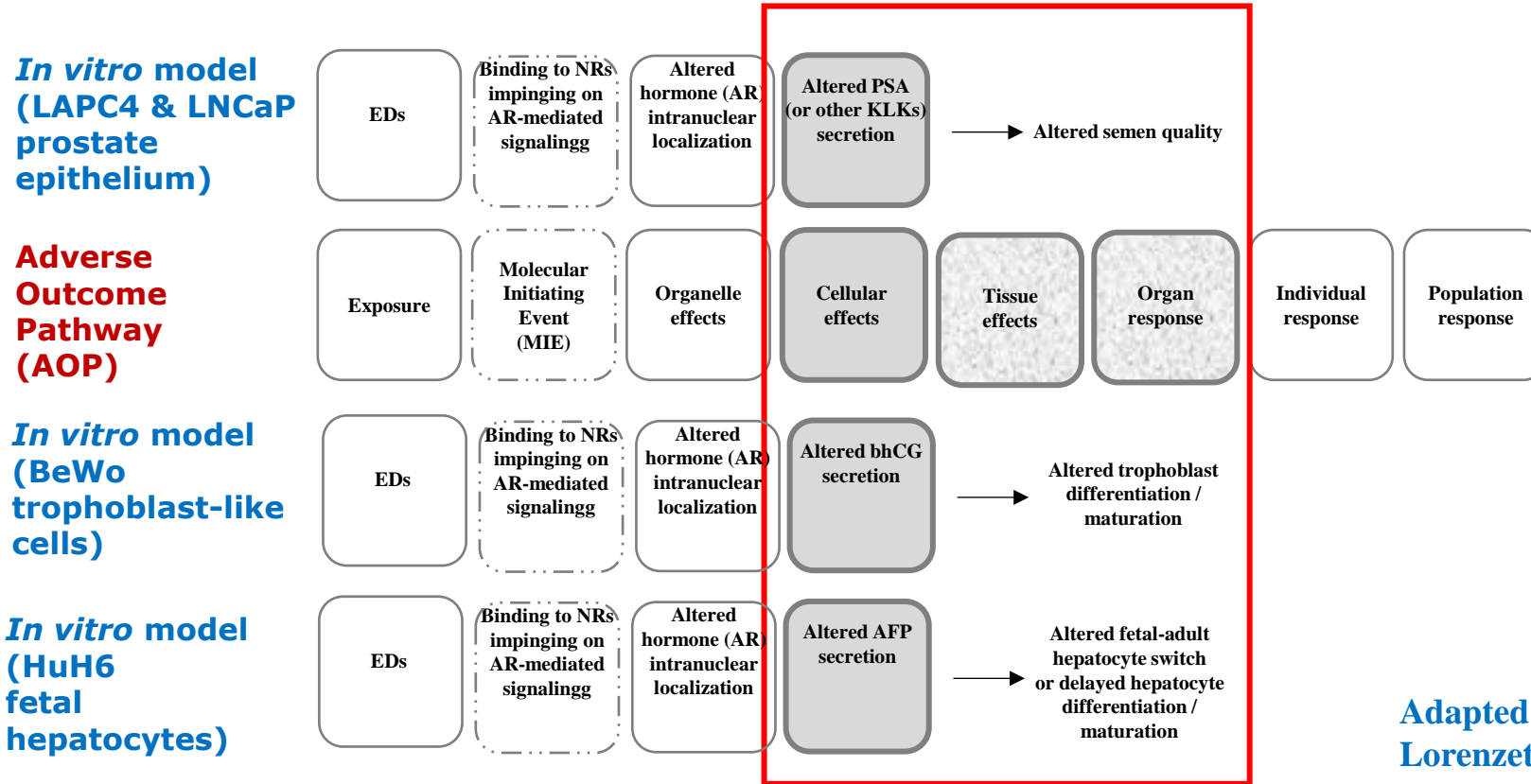
- PSA secretion – androgen disruption in prostate epithelium
- βhCG secretion – estrogen disruption in trophoblast-like cell (placenta)
- AFP secretion – metabolic disruption in liver

# OUTLINE

---

- ✓ **Why alternatives to animal testing**
- ✓ **Endocrine Disruption and adverse effects**
- ✓ **Endocrine Disruptor (ED)-screening: mechanism-based *versus* effect-based**
- ✓ **The *in vitro* LIFE-EDESIA approach:  
computational prioritization  
plus  
*in vitro* testing by ED-dependent, biomarker-based, cell-specific bioassays**
- ✓ **Let's go to the end**

# Biomarker-based, cell-specific bioassays as the best screening approach to build an Adverse Outcome Pathway for Endocrine Disruption - 1



Adapted from Lorenzetti *et al.*, *Annals* 2015

Monolayers and 3D-cultured cells STOP here

Co-cultured cells & organoids can eventually STOP here

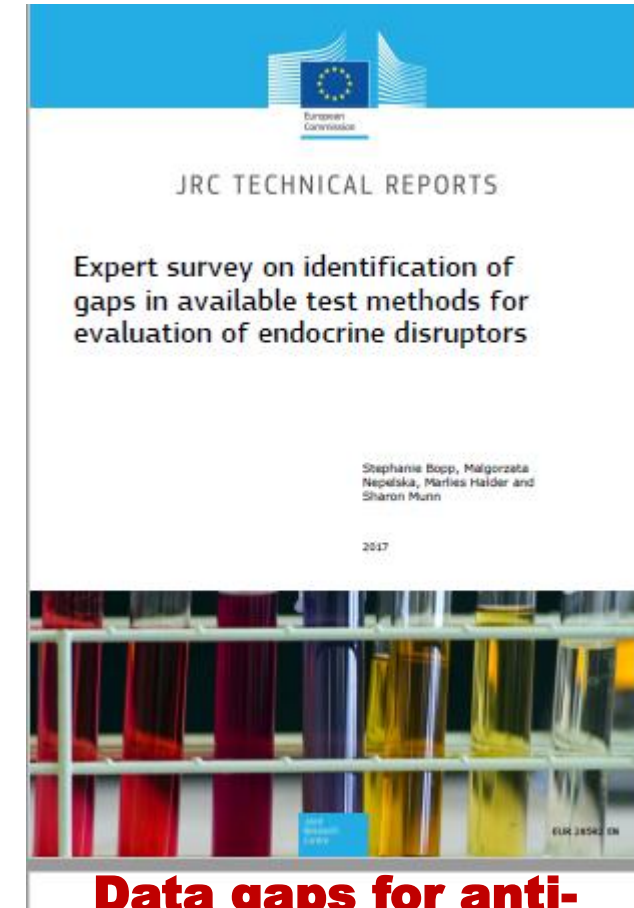
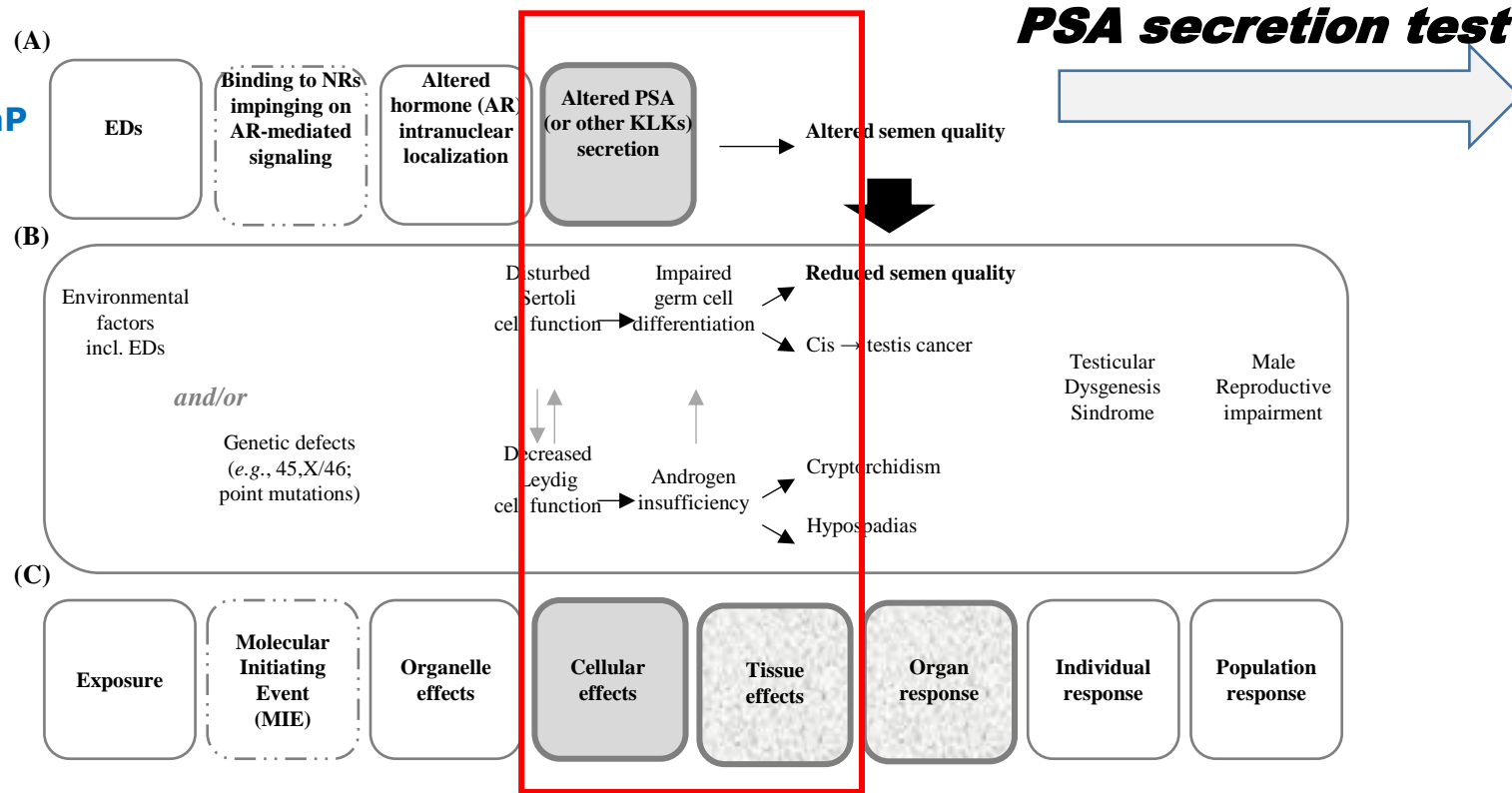


# Biomarker-based, cell-specific bioassays as the best screening approach to build an Adverse Outcome Pathway for Endocrine Disruption - 2

**In vitro model (LAPC4 & LNCaP prostate epithelium)**

**Testicular Dysgenesis Syndrome (TDS)**

**Adverse Outcome Pathway (AOP)**



**Data gaps for anti-androgenicity in male accessory glands**

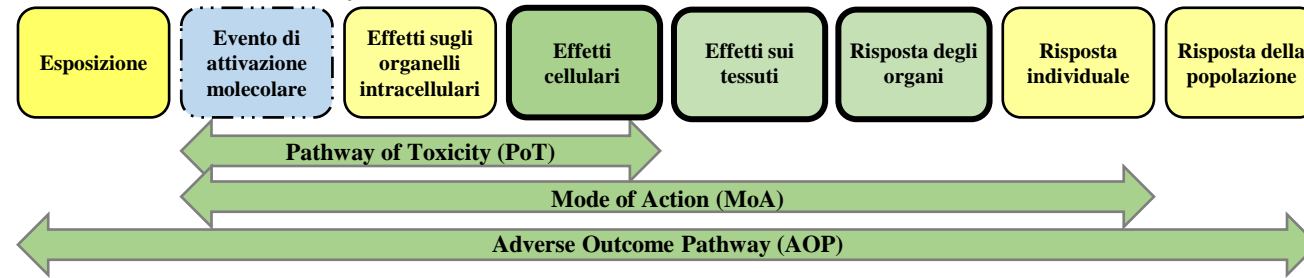


Adapted from Lorenzetti *et al.*, *Annals* 2015

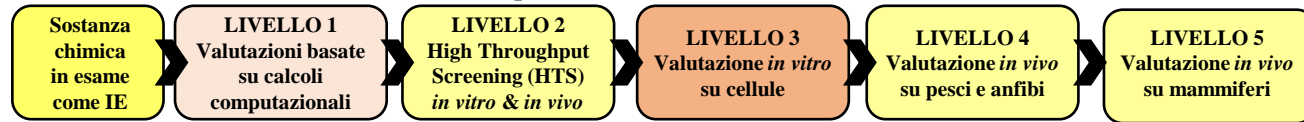
# Biomarker-based, cell-specific bioassays as the best screening approach to build an Adverse Outcome Pathway for Endocrine Disruption - 3

*Integrating the LIFE-EDESIA Endocrine-based Screening using Cell-specific, ED-targeted Functional Biomarkers (C, D) within the Testicular Dysgenesis Syndrome (B) as an Adverse Outcome Pathway (A).*

(A) Adverse Outcome Pathway (AOP)



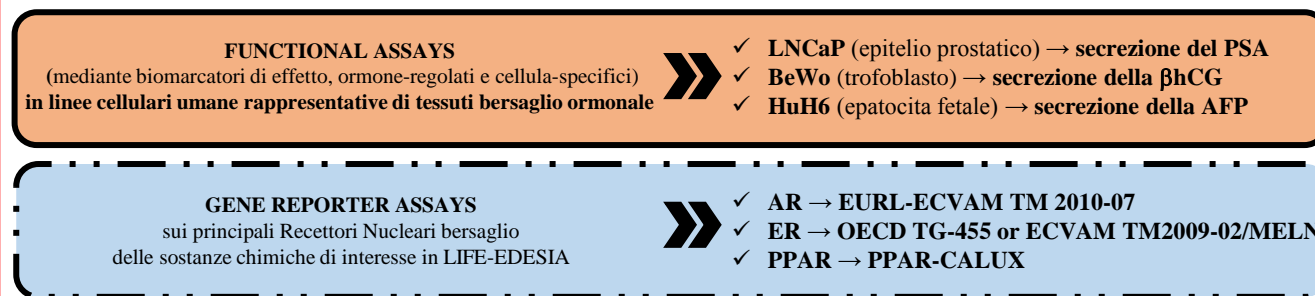
(B) The Tiered Protocol for Endocrine Disruption (TiPED)



(C) Strategia di screening *in silico-in vitro* per gli Interferenti Endocrini (IE) del progetto LIFE-EDESIA



(D) Strategia di screening *in vitro* per gli Interferenti Endocrini (IE) del progetto LIFE-EDESIA



Adapted from Lorenzetti *et al.*, *Annals* 2015

➤ **About prioritisation and testing:**

- **Always remind the limitations of your favourite alternative assay to animal testing**
- **Always remind the concept «fit-for-purpose» not only to test your hypothesis but also to dismiss a wrong application of a test method**
- **Try to develop new screening methods as closed as possible to the (human) physiological reality**



# MORE INFO ON LIFE-EDESIA

come to visit our website [www.iss.it/life](http://www.iss.it/life)

**Progetto Europeo LIFE-EDESIA**

(IT) EN Responsabile: Stefano Lorenzetti

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3

Publicato il 13-03-2014 in Documenti , aggiornato al 13-03-2014 Leggi...

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**Altre pubblicazioni rilevanti**

- Birnbaum LS. State of the Science of Endocrine Disruptors. Environ Health Perspect 121:a107-a107(2013)
- Lorenzetti S, Altieri I, Arabi S, Balduzzi D, Bechi N, Cordelli E, Galli C, Ietta F, Modena SC, Narciso L, Pacchierotti F, Villani P, Galli A, Lazzari G, Luciano AM, Paulesu L, Spanò M, Mantovani A. Innovative non-animal testing strategies for reproductive toxicology: the contribution of Italian partners within the EU project ReProTect. Ann Ist Super Sanita. 2011;47(4):429-44....

Publicato il 03-10-2013 in Pubblicazioni , aggiornato al 05-03-2014 Leggi...

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**Plastiche: risorsa o rischio ambientale? - Plastica in mare, nelle acque interne e nei suoli**

1

Il progetto LIFE-EDESIA verrà presentato ad Alessandria il prossimo 10 Febbraio nel corso dell’evento organizzato dalla “Università degli Studi del Piemonte Orientale Amedeo Avogadro” Plastiche: risorsa o rischio ambientale? - Plastica in mare, nelle acque interne e nei suoli

Il Dott. Lorenzetti presenterà una relazione dal titolo: “Plasticizzanti e salute umana: l’applicazioni del principio di precauzione nel progetto LIFE EDESIA”

11:46  
18/03/2014



MORE INFO ON ALTERNATIVE TEST METHODS

come to visit [www.ipamitalia.org](http://www.ipamitalia.org)

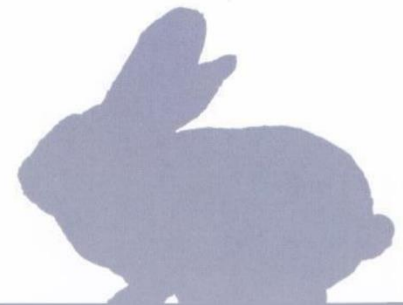
# IPAM

**Associazione Nazionale no-  
profit**

***Fondata nel 2003***



**Italian Platform on Alternative Methods**



# ACKNOWLEDGEMENTS



**Istituto Superiore Sanità**  
**Alberto Mantovani**  
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**IRFMN-IRCCS**  
**Emilio Benfenati**



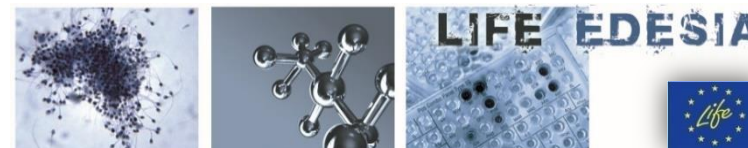
**Uni-NAPOLI**  
**Elisa Perissutti**



**Uni-PARMA**  
**Pietro Cozzini**

Endocrine Disruptors *in silico* / *in vitro*  
Evaluation and Substitution for Industrial Applications

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